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Attorney Docket No.: PATENT  
SONY-26400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Xin Xue et al.

Serial No.: 10/666,888

Filed: September 17, 2003

For: **VERSION BASED CONTENT  
DISTRIBUTION AND  
SYNCHRONIZATION SYSTEM  
AND METHOD**

) Group Art Unit: 2189

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon are enclosed, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 11, 2007

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.2(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

**HAVERSTOCK & OWENS LLP.**

Date: 7/11/07 By: [Signature]



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) Examiner:

) **CERTIFICATION FOR**  
) **INFORMATION DISCLOSURE**  
) **STATEMENT UNDER 37 CFR § 1.97(e)**

) 162 North Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

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\_\_\_\_\_  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on May 4, 2007. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.**  
**37 C.F.R. 1.97(e)(2)**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 14, 2007

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 7/11/07 By: [Signature]



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) Group Art Unit: 2189

) Examiner:

) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,487,605;
- U.S. Patent No. 6,594,228 B1;
- U.S. Patent No. 7,149,813 B2;
- U.S. Publication No. 2003/0014483 A1;
- U.S. Publication No. 2003/0182450 A1;
- U.S. Publication No. 2005/0228812 A1; and
- SyncML, "SyncML Sync Protocol, version 1.0.1, 6/15/2001,  
<http://www.syncml.org/docs/syncmlprotocolv10120010615.pdf>, pages 1-61.

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

**HAYERSTOCK & OWENS LLP.**

Date: 7/11/07

By: [Signature]

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 11, 2007

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SONY-26400

Serial No.: 10/666,888

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Xin Xue et al.

Filing Date: September 17, 2003

Group Art Unit: 2189

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	6,487,605 B1	11/26/2002	Leung	709	245	11/14/2000
	AB	6,594,228 B1	07/15/2003	Naidoo et al.	370	217	07/26/1999
	AC	7,149,813 B2	12/12/2006	Flanagin et al.	709	248	08/14/2001
	AD	US 2003/0014483 A1	01/16/2003	Stevenson et al.	709	203	04/12/2002
	AE	US 2003/0182450 A1	09/25/2003	Ong et al.	709	246	03/05/2002
	AF	US2005/0228812 A1	10/13/2005	Hansmann et al.	707	102	04/04/2003
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AP							
	AQ							
	AR							
	AS							
	AT							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AU	SyncML, "SyncML Sync Protocol, version 1.0.1", 6/15/2001, <a href="http://www.syncml.org/docs/syncmlprotocolv10120010615.pdf">http://www.syncml.org/docs/syncmlprotocolv10120010615.pdf</a> , pages 1-61.						
	AV							
	AW							
	AX							
	AY							
	AZ							
	BA							
	BB							

Examiner:

Date Consider

**EXAMINER:**

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.